



IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Huey Quoc Chan et al.

Confirmation No.: 1275

Serial No.: 10/791,008

Examiner: Unknown

Filing Date: March 2, 2004

Group Art Unit: 3763

Docket No.: 1001.1765101

Customer No.: 28075

For: OCCLUSION BALLOON CATHETER WITH EXTERNAL INFLATION LUMEN

TRANSMITTAL SHEET

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:
Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 7th day of June 2004.

By

David M. Crompton
David M. Crompton

We are transmitting herewith the attached:

[]

Amendment

[]

No additional claim fee required

[]

The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

[] A check in the amount of \$_____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

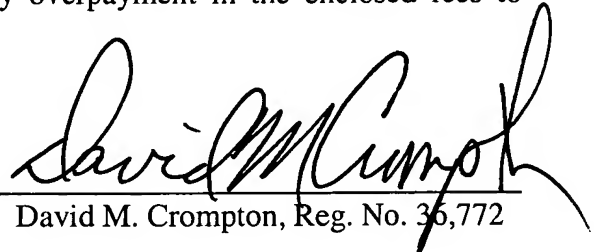
Fee Code _____ \$

[] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND 4 CITED NON-U.S. PATENT/PUBLICATION REFERENCES.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Huey Quoc Chan et al. Confirmation No.: 1275
Serial No.: 10/791,008 Examiner: Unknown
Filing Date: March 2, 2004 Group Art Unit: 3763
Docket No.: 1001.1765101 Customer No.: 28075
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INFORMATION DISCLOSURE STATEMENT

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below, with sufficient postage as first class mail in an envelope addressed to:
Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 7th day of June 2004.

By

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

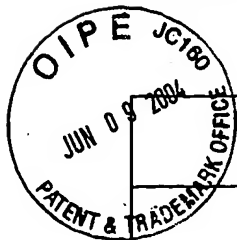
Huey Quoc Chan et al.

By their Attorney,

Date:

6/7/04

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FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1001.1765101	Serial No.: 10/791,008
	Applicant: Huey Quoc Chan et al.	
	Confirmation No.: 1275	
	Filing Date: March 2, 2004	Group Art: 3763

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	RE 35,176	03/12/1996	Powell	
AB	3,190,291	06/22/1965	Foley	
AC	3,331,371	07/18/1967	Rocchi et al.	
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	Document No.	Date	Country	Translation Yes No
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CZ	EP 0 408 198 A1	01/16/1991	Europe	
DA	GB 2 209 121 A	05/04/1989	United Kingdom	
DB	WO 93/17750 A1	09/16/1993	WIPO	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.